

REQUEST UNDER MASSACHUSETTS PUBLIC RECORD LAW

May 6, 2019

Lorraine A.G. Tarrow
Assistant Attorney General &
Records Access Officer
Office of the Attorney General
One Ashburton Place, 18th Floor
Boston, MA 02108

By Electronic Mail: agorecordsaccessofficer@state.ma.us

Re: Certain Calendars, Logs, and Correspondence of L. Tarrow, J. Cubetus

Dear Ms. Tarrow:

On behalf of the public policy group Energy Policy Advocates (EPA), recognized by the Internal Revenue Service as a non-profit public policy institute under § 501(c)(3) the Internal Revenue Code, and pursuant to the Massachusetts Public Records Law (M.G.L. §§ 66-10 *et seq.*), I request your Office provide:

1. Copies of all correspondence, whether electronic or hard copy (be it fax, hand delivered, or otherwise), to, from, or copying Lorraine A.G. Tarrow, dated from April 1, 2019 through April 26, 2019, inclusive, containing "Murray" and/or "@sec.state.ma.us", anywhere, be it in an email address, subject field, body of an email, or attachment;
2. Copies of all correspondence, whether electronic or hard copy (be it fax, hand delivered, or otherwise), to, from, or copying Jon Cubetus, dated from April 1, 2019 through April 26, 2019, inclusive, containing "Murray" and/or "@sec.state.ma.us", anywhere, be it in an email address, subject field, body of an email, or attachment;

3. Lorraine A. G. Tarrow's work-phone bills, meeting logs, calendar, and work-phone texts from April 1, 2019 through April 12, 2019, inclusive; and
4. Jon Cubetus' work-phone bills, meeting logs, calendar, and work-phone texts from April 1, 2019 through April 12, 2019, inclusive.

Regarding parts 1 & 2 of this request, we request entire threads of which any responsive correspondence is a part, regardless whether any portion falls outside of the above time parameter. To narrow this request, requester excludes from its request electronic correspondence that merely receives or forwards press clippings, such as news services or stories or opinion pieces, if that correspondence has no comment or no substantive comment added by a party other than the original sender in the thread (an electronic mail message that includes any expression of opinion or viewpoint would be considered as including substantive comment; examples of non-responsive emails would be those forwarding a news report or opinion piece with no comment or only "fyi", or "interesting").

The Public Records Law strongly favors disclosure by creating a presumption that all governmental records are public records. G.L. c. 66 § 10A(d); 950 C.M.R. 32.03(4). "Public records" is broadly defined to include all documentary materials or data, regardless of physical form or characteristics, made or received by any officer or employee of any agency or executive office of the commonwealth. G.L. c. 4 §7(26).

It is the burden of the records custodian to demonstrate the application of an exemption in order to withhold a requested record. G.L. c. 66 §10(b)(iv); 950 C.M.R. 32.06(3); see also *Dist. Attorney for the Norfolk Dist. v. Flatley*, 419 Mass. 507, 511 (1995) (custodian has the burden of establishing the applicability of an exemption). To meet the specificity requirement a custodian

must not only cite an exemption, but must also state why the exemption applies to the withheld or related portion of the responsive record.

We understand that a public body may charge a fee for the cost of the search, examination, review, copying, separation of confidential from nonconfidential information, and mailing costs. If your Office expects to seek a charge associated with the searching, copying or production of these records, however, please provide an estimate of anticipated costs. Given EPA's non-profit and public interest nature and intention to broadly disseminate relevant findings, EPA requests a waiver or reduction of any applicable fees.

We do not demand your Office produce requested information in any particular form, instead **we request records in their native form, with specific reference to the U.S. Securities and Exchange Commission Data Delivery Standards.**¹ The covered information we seek is electronic information, this includes electronic *records*, and other public *information*.

To quote the SEC Data Delivery Standards, “Electronic files must be produced in their native format, i.e. the format in which they are ordinarily used and maintained during the normal course of business. For example, an MS Excel file must be produced as an MS Excel file rather than an image of a spreadsheet. *(Note: An Adobe PDF file is not considered a native file unless the document was initially created as a PDF.)*” (emphases in original).

In many native-format productions, certain public information remains contained in the record (e.g., metadata). Under the same standards, to ensure production of all information requested, if your production will be de-duplicated it is vital that you 1) preserve any unique

¹ <https://www.sec.gov/divisions/enforce/datadeliverystandards.pdf>.

metadata associated with the duplicate files, for example, custodian name, and, 2) make that unique metadata part of your production.

Native file productions may be produced without load files. However, native file productions must maintain the integrity of the original meta data, and must be produced as they are maintained in the normal course of business and organized by custodian-named file folders. A separate folder should be provided for each custodian.

In the event that necessity requires your Office to produce a PDF file, due to your normal program for redacting certain information and such that native files cannot be produced as they are maintained in the normal course of business, in order to provide all requested information each PDF file should be produced in separate folders named by the custodian, *and* accompanied by a load file to ensure the requested information appropriate for that discrete record is associated with that record. The required fields and format of the data to be provided within the load file can be found in Addendum A of the above-cited SEC Data Standards. All produced PDFs must be text searchable.

We look forward to your response and should you have any questions, feel free to reach me at MatthewDHardin@gmail.com.

Sincerely,

Matthew D. Hardin
Executive Director
Energy Policy Advocates